



501.42869X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: H. CHAKIHARA, et al.

Application No.: 10/629,733

Filed: July 30, 2003

For: A SEMICONDUCTOR EMORY DEVICE AND A METHOD OF
MANUFACTURING THE SAME, A METHOD OF
MANUFACTURING A VERTICAL MISFET AND A VERTICAL
MISFET, AND A METHOD OF MANUFACTURING A
SEMICONDUCTOR DEVICE AND A SEMICONDUCTOR
DEVICE

Art Unit: 2826

Examiner: F. Abraham

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97 & 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

April 26, 2004

Sir:

In the matter of the above-identified application, Applicants are submitting herewith a copy of a U.S. application Serial No. 10/465,550 and copies of the documents listed in the attached form PTO/SB/08A, cited in the related application for the Examiner's consideration.

This Information Disclosure Statement is being submitted, on information and belief, before the mailing date of a first Office Action on the merits.

To the extent the documents listed on the attached form PTO/SB/08A are not in the English language, the requirement of 37 CFR § 1.98(a)(3). For a concise explanation of the relevance is satisfied by an English language version abstract or

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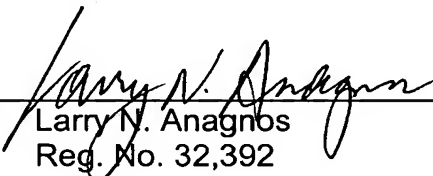
patent family member or translation of the foreign patent office report citing the documents and discussion of the documents in the specification.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP Deposit Account No. 01-2135 (Docket No. 501.42869X00), and please credit any excess fee to such deposit account.

Respectfully submitted,

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/629,733		
		Filing Date	July 30, 2003		
		First Named Inventor	H. CHAKIHARA, et al.		
		Art Unit	2826		
		Examiner Name	F. Abraham		
Sheet	1	of	1	Attorney Docket Number	2826

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		5,364,810		11-1994	Kosa et al	
		5,550,396		08-1996	Tsutsumi	

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					
		WO 03036714		05-2003			
		WO 03019663		03-2003			
		JP 888328		04-1996			
		JP 5206394		08-1993			
		JP 6104405		04-1994			
		JP 5062474		03-1993			

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		U.S. Application No.: 10/465,550 Specification (pp 77) and drawings (pp 78)	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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